# TENT COOPERATION TREATY

# **PCT**

REC'D 0 4 838 1397

INTERNATIONAL PRELIMINARY EXAMINATION REPORT.

PCT

(PCT Article 36 and Rule 70)

| Applicant's or agent's file reference FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)                                  |   |  |  |
|---|---|--|--|
| International application No. ( / International filing date   | (day/month/year) Priority date (day/month/year)   |  |  |
| PCT/SE 96/01119 V 06.09.1996  | 08.09.1995  |  |  |
| International Patent Classification (IPC) or national classification a A 61 K 48/00, C 12 Q 1/68  | and IPC <sub>6</sub>  |  |  |
| Applicant   |   |  |  |
| Landegren, Ulf  | ·   |  |  |
|   |   |  |  |
| <ol> <li>This international preliminary examination report has been Authority and is transmitted to the applicant according to</li> <li>This REPORT consists of a total of 3 sheet</li> </ol> | Article 36.   |  |  |
|   |   |  |  |
| This report is also accompanied by ANNEXES, i.e., been amended and are the basis for this report and/o (see Rule 70.16 and Section 607 of the Administration)                                 | sheets of the description, claims and/or drawings which have or sheets containing rectifications made before this Authority ve Instructions under the PCT). |  |  |
| These annexes consist of a total of sheet   | 5.  |  |  |
| 3. This report contains indications relating to the following it  | ems:  |  |  |
| I Basis of the report   |   |  |  |
| II Priority   |   |  |  |
| III Non-establishment of opinion with regard to a   | novelty, inventive step and industrial applicability  |  |  |
| IV Lack of unity of invention   |   |  |  |
| Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement                               |   |  |  |
| VI Certain documents cited  |   |  |  |
| VII Certain defects in the international application  | n .   |  |  |
| VIII Certain observations on the international appl   | ication   |  |  |
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| L   |   |  |  |
| Date of submission of the demand  | Date of completion of this report   |  |  |
| 26.08.1997  | 25.11.1997  |  |  |
| Name and mailing address of the IPEA/SE   | Authorized officer  |  |  |
| Patent- och registreringsverket Telex<br>Box 5055 17978   |   |  |  |
| S-102 42 STOCKHOLM PATOREG-S  | Patrick Andersson   |  |  |
| Facsimile No. 08-667, 72, 88 Telephone No. 08-782, 25, 00   |   |  |  |

Form PCT/IPEA/409 (cover sheet) (January 1994)

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.
PCT/SE 96/01119

| 1. This report has been drawn on the basis of (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.):  the international application as originally filed.  pages |  |  |  |  |
|---|--|--|--|--|
| the description, pages 1-8 , as originally filed,  pages , filed with the demand,  pages , filed with the letter of ,  pages , filed with the letter of .  the claims, Nos. , as originally filed,  Nos. 1-7 , as amended under Article 19,  Nos. , filed with the demand,  Nos. , filed with the letter of ,   |  |  |  |  |
| pages   |  |  |  |  |
| pages   |  |  |  |  |
| pages, filed with the letter of  the claims, Nos, as originally filed,  Nos, as amended under Article 19,  Nos, filed with the demand,  Nos, filed with the letter of,  |  |  |  |  |
| the claims,  Nos, as originally filed,  Nos, as amended under Article 19,  Nos, filed with the demand,  Nos, filed with the letter of,  |  |  |  |  |
| Nos. 1-7 , as amended under Article 19,  Nos. , filed with the demand,  Nos. , filed with the letter of ,   |  |  |  |  |
| Nos, filed with the demand,  Nos, filed with the letter of,   |  |  |  |  |
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| Nos, filed with the letter of   |  |  |  |  |
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| the drawings, sheets/fig, as originally filed,  |  |  |  |  |
| sheets/fig, filed with the demand   |  |  |  |  |
| sheets/fig, filed with the letter of,   |  |  |  |  |
| sheets/fig, filed with the letter of,   |  |  |  |  |
| the description, pages the claims, Nos the drawings, sheets/fig   |  |  |  |  |
| This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the supplemental Box (Rule 70.2(c)).   |  |  |  |  |
| 4. Additional observations, if necessary:   |  |  |  |  |
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#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

| Internation | onal | application | No. |
|-------------|------|-------------|-----|
| PCT/S       | F    | 96/011      | 19  |

| V. | Resoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicabili | ty; |
|----|--|-----|
|    | citations and explanations supporting such statement   |     |

| 1. | Statement                     |        |     |       |
|----|-------------------------------|--------|-----|-------|
| ٠  | Novelty (N)                   | Claims | 1-7 | YES   |
|    |                               | Claims |     | NO    |
|    | Inventive step (IS)           | Claims | 1-7 | YES   |
|    | • • •                         | Claims |     | NO .  |
|    | Industrial applicability (IA) | Claims | 1-7 | YES   |
|    | industrial approximity (2.1)  | Claims |     | NO NO |
|    |                               |        |     | · ——— |

#### 2. Citations and explanations

The claimed invention relates to a pharmaceutical composition for targeting double stranded nucleic acids. The active component in the composition is a padlock probe oligonucleotide having two free nucleic acid end parts which are partially complementary to and capable of hybridising with two neighbouring regions of a target nucleic acid sequence, so that the padlock probe can be circulized by joining said free end parts and catenate with the target sequence for direct inhibition thereof.

"Padlock Probes: Circularizing Nilsson Μ. et al., Oligonucleotides for Localised DNA Detection", 1994, Science, vol 265, page 2085-2088 discloses a method for detecting mutation by hybridising the two free ends of a padlock probe to a single stranded DNA-sequence, the padlock probe is ligated and thereby circulized. The use of a padlock probe as a pharmaceutical is not mentioned or contemplated in this document. This document represent the general state of the art, thus the invention according to claims 1-7 (filed 17 January 1997) is novel, technically applicable and considered to involve an inventive step.

9

#### CLAIMS

- 1. A pharmaceutical composition for targeting double stranded nucleic acids, characterized in that it comprises an effective amount of a padlock probe oligonucleotide having two free nucleic acid end parts which are at least partially complementary to and capable of hybridizing with two at least substantially neighboring respective regions of a target nucleic acid sequence so that the padlock probe can be circularized by joining said free end parts and catenate with the target sequence for direct inhibition thereof.
- 2. A composition according to claim 1, in admixture with a suitable carrier.
- 3. A composition according to claim 1, also comprising a linking agent.
- 4. A composition according to claim 3, wherein linking agent is a ligase enzyme.
- 5. A composition according to claim 1, comprising mutually chemically reactive compounds at said end parts.
- 6. A composition according to any of claims 1-5, wherein said padlock probe comprises non-natural nucleic acids or polymers.
- 7. A composition for targeting nucleic acids, comprising an effective amount of a padlock probe having two free nucleic acid end parts which are at least partially complementary to and capable of hybridizing with two at least substantially neighboring respective regions of a target nucleic acid sequence so that it can be circularized and catenate with the target sequence, for use as a medicament.

# **PCT**





# INTERNATIONAL APPLICATION PUBLISHED UNDER THÉ PATENT COOPERATION TREATY (PCT)

| (51) International Patent Classification <sup>6</sup> :  | ,                   | (11) International Publication Number:   | WO 97/09069   |
|--|---------------------|--|---|
| A61K 48/00, C12Q 1/68  | A1                  | (43) Internati nal Publication Date:   | 13 March 1997 (13.03.97)  |
| (22) International Application Number: PCT/SE(22) International Filing Date: 6 September 1996 (Case) 1995 (Case) 1 | 06.09.96 ) S [SE/SE | MD, MG, MK, MN, MW, MD, SD, SE, SG, SI, SK, TJ, TM, VN, ARIPO patent (KE, LS, patent (AM, AZ, BY, KG, KZ, patent (AT, BE, CH, DE, DK, LU, MC, NL, PT, SE), OAPI CM, GA, GN, ML, MR, NE, ST | EE, ES, FI, GB, GE, HU, IL, LK, LR, LS, LT, LU, LV, K, NO, NZ, PL, PT, RO, RU, TR, TT, UA, UG, US, UZ, MW, SD, SZ, UG), Eurasian MD, RU, TI, TM), European ES, FI, FR, GB, GR, IE, IT, patent (BF, BJ, CF, CG, CI, SN, TD, TG). |

## (57) Abstract

The present invention relates to methods and compositions for targeting nucleic acid sequences, more specifically double stranded nucleic acid sequences. The compositions comprise oligonucleotides in the form of padlock probes. The padlock probes have two free nucleic acid end parts which are at least partially complementary to and capable of hybridizing with two at least substantially neighboring respective regions of a target nucleic acid sequence. Furthermore, the invention relates to use of said compositions as medicaments for treating genetic disorders.

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## METHODS AND COMPOSITIONS FOR NUCLEIC ACID TARGETING

## Field of the invention

The present invention relates to methods and pharmaceutical compositions for targeting nucleic acid sequences, more specifically double stranded nucleic acid sequences. The compositions comprise oligonucleotides in the form of so called padlock probes. The padlock probes have two free nucleic acid end parts which are at least partially complementary to and capable of hybridizing with two at least substantially neighboring respective regions of a target nucleic acid sequence. Furthermore, the invention relates to use of said compositions as medicaments for treating genetic disorders.

# Background of the invention

Oligonucleotides as potential therapeutics has developed by the ability to synthesize oligonucleotides, chemically modified oligonucleotide analogs and conjugated oligonucleotides, of suitable quantity and purity, as a result of the now ready availability of oligonucleotides through automated synthesis using, for example, the phosphoramidite method.

A first approach to therapeutic use of oligonucleotides is to use them as inhibitors of translation, with the complementary or 'antisense' base sequence targeted to a specific 'sense' sequence in the mRNA. In this way, expression of a specific protein can be regulated or inhibited.

Mechanisms of antisense inhibition include interference with ribosome binding and processing of mRNA conformation or mRNA splicing, and RNAase-H activation of mRNA digestion. The preferred target for antisense inhibition is the 5'-initiation codon.

A second approach to therapeutic use of oligonucleotides is to target DNA therewith and thereby directly inhibit gene function by inhibiting transcription to mRNA. In contrast to mRNA which,



2

although extensively folded, is readily accessible, the DNA duplex is very stable which complicates inhibition thereof.

One way of solving the problem with inaccessibility of double stranded DNA is to take advantage of the fact that a third strand can be accommodated in the major groove of the B-form DNA duplex to form a triplex structure.

Duplex recognition by an oligonucleotide involves the formation of two hydrogen bonds with the purines of Watson-Crick base pairs within the major groove of the double helix. Thymine, cytosine, and guanine can adopt two different orientations called 'Hoogsteen' and 'reverse Hoogsteen' by analogy with the hydrogen-bonding scheme discovered by Hoogsteen in co-crystals of A and T derivatives. In contrast, adenine and inosine can form two hydrogen bonds with and A.T base pair in a single orientation. It should be noted that in order to form two hydrogen bonds with G, cytosine must be protonated. Therefore, triplets involving C+ x G.C are more stable at acidic pH. Methylation at C-5 of cytosine also contributes to stabilization of the triple helix.

Several mechanisms exist by which triple helix formation can alter gene transcription:

- 1. Triple helix formation within the promoter region can change DNA conformation and therefore alter the rate and efficiency of RNA polymerase initiation. This can lead to either activation or inhibition of transcription.
- 2. Oligonucleotide binding to a DNA sequence overlapping a transcription factor binding site may inhibit its transactivating capacity.
- 3. Triplex formation within or adjacent to the region where RNA polymerase binds may inhibit transcription initiation even if RNA polymerase and transcription factors are still bound to the promoter.
- 4. Oligonucleotide binding downstream of the RNA polymerase recognition site might inhibit progression of the transcription machinery along the DNA and therefore block RNA elongation.

3

Targeting by triple helix formation is limited to only a particular subset of DNA sequences, such as those associated with homopurine-homopyrimidine tracts.

An alternative way of directly inhibiting DNA is described in Nucleic Acids Research, 1993, Vol 21, No 2, p 197-200 to Nielsen et al. The authors describe that PNA (peptide nucleic acids chimera), i.e., DNA analogues in which the deoxyribose phosphate backbone has been replaced with a peptide backbone consisting of (2-amoniethyl)glycine units have retained the hybridization properties of DNA. There is shown that PNA binds more strongly to complementary oligonucleotides than DNA itself. Moreover, PNA can bind sequence specifically to double stranded DNA. This binding takes place by strand displacement rather than by triple helix formation. In brief, a rather unstable strand displacement complex is first formed with only one PNA molecule bound to the target by Watson-Crick hydrogen bonding, and this is subsequently trapped by binding of a second PNA molecule via Hoogsteen hydrogen bonding.

However, because of their relatively strong binding the sequence specificity rapidly diminishes with the increasing length of the PNA probes.

Branch capture reactions (BCRs) target duplex restriction fragments terminating in overhanging bases with short homologous single stranded DNA oligonucleotides that can pair with the unpaired overhanging bases and some flanking sequence so that complete base pairing displaces the end of one resident strand by branch migration. The limitation of BCRs is that they are limited to targeting only known terminal sequences and are, thus, not very suitable as therapeutic agents.

In Nature Genetics, vol. 3, april 1993, there is described another probe-targeting method which uses Rec A protein-coated short single stranded DNA probes to form four stranded hybrids between probes and duplex DNA targets. With this method in-

4

ternally localized sites can be targeted and the four stranded hybrids are stable.

All the above nucleic acid targeting methods suffer from drawbacks the most important one being the insufficient sequence specificity of the probes. This is an especially essential consideration in respect of the potential use of the probes as therapeutics.

# Summary of the invention

The present invention is derived from the copending international application no. PCT/SE95/00163 entitled: Method, reagent and kit for detection of specific nucleotide sequences. This application is referred to and herein incorporated by reference. In this application so called padlock probes are described.

In summary, said application describes a probe designed to be circularized in the presence of a target sequence, wherein said probe is caused to close around the target nucleic acid, for example DNA or RNA, such that the cyclic probe will interlock with and thereby be efficiently linked to the target nucleic acid in a manner similar to "padlocks". The circularization of the probe ends is achieved with, for example, ligase. Such covalent catenation of probe molecules to target sequences result in the formation of an extremely stable hybrid.

It has now been surprisingly found that these padlock probes are able to affect gene function directly by binding to double stranded nucleic acids, without a prior denaturation step, and thereby affect the replication and transcription of the bound molecule. This is expected to provide new therapeutic possibilities for in vivo manipulation of gene sequences and treatment of genetic disorders.

In a first aspect, the present invention provides a method for targeting double stranded nucleic acids, comprising the following steps: a) contacting a linear padlock probe having two free nucleic acid end parts which are at least partially complementary to and capable of hybridizing with two at least substantially neighboring respective regions of a target nucleic acid sequence;

with a double stranded nucleic acid target without prior denaturation of said target;

- b) hybridizing said free nucleic acid end parts with said two at least substantially neighboring respective regions of a target nucleic acid sequence; and
- c) circularization of said padlock probe by joining said free end parts.

The joining in step c) is performed with a linking agent such as a ligase enzyme or mutually chemically reactive compounds at the free end parts.

The method of the invention can be performed both in vitro and in vivo.

According to a second aspect, the present invention provides a pharmaceutical composition for targeting double stranded nucleic acids, comprising an effective amount of a padlock probe oligonucleotide having two free nucleic acid end parts which are at least partially complementary to and capable of hybridizing with two at least substantially neighboring respective regions of a target nucleic acid sequence so that the padlock probe can be circularized by joining said free end parts and catenate with the target sequence for direct inhibition thereof.

The composition is preferably formulated in admixture with a suitable carrier, such as conventional pharmaceutically acceptable carriers known in the art.

According to a third aspect of the invention the above described

6

compositions are used as a medicament for treating genetic disorders.

# Detailed description of the invention

Padlock probe targeting to double stranded DNA according to the method of the invention optionally involves a linking agent which can be chemical or biological. It is, for example, a ligaseassisted reaction. The principle employed in such a reaction is that a linear two-probe segment with a probe in each end, complementary to two target sequences situated in juxtaposition, are joined to a contiguous circular probe sequence with the aid of a linking agent, such as a DNA ligase. Examples of ligases are T4 DNA ligase, T7 DNA ligase, E.coli DNA ligase, and Thermus thermophilus DNA ligase. Also groups that are mutually chemically reactive may be used to join the ends of the probes in an enzymeindependent manner. This way of joining oligonucleotide ends has been previously used in the art. Besides ligases, proteins like RecA or single strand-binding protein can enhance the ability of circularizable probes to hybridize and become catenated to, base paired DNA.

The compositions according to the invention may or may not contain a linking agent depending on the use of the compositions. In vivo, RecA and DNA ligase are already present, and thus the addition of a linking agent may not be necessary for therapeutic applications.

According to the present invention, padlock probes are used in in vitro methods to specifically detect DNA sequences within a cell, without a requirement for prior denaturation. In this manner, for example, the correct spatial relations between specific DNA sequences can be analyzed without artificially induced effects.

In the in vitro method of the invention, probes of this type could also be used to modify and thereby mutate specific genes in in vitro cell lines, and for instance in embryonal stem cells

7

to give rise to transgenic animals carrying mutations in predefined genes.

In all these various applications, the effects of the padlock probes may be accentuated by at least partially building the probes of non-natural nucleic acids, or of polymers such as PNA, having advantages such as stronger base pairing, greater resistance to nucleases, or increased ability to cross cell membranes.

Padlock probes bind selectively and stably to double stranded DNA and enable sequence specific modification of DNA. In fact, it is contemplated that padlock probes even will be able to selectively bind gene sequence variants with point mutations, in order to inhibit the expression of the mutant genes, since the ligation is dependent upon the exact target sequence. The increased specificity is achieved by the fact that two shorter probe segments have to cooperate for binding to occur. A further advantage is that padlock probes are not sensitive to exonucleases due to their circular shape when they are ligated. On the other hand, excess of padlock probes is rapidly degraded by exonucleases which is a benefit in, for example, drug formulation.

The invention will now be illustrated further, by way of example only, by the following non-limiting specific Examples.

#### EXAMPLE 1

Padlock probe binding to double stranded nucleic acid target A padlock probe oligonucleotide having the following sequence: 5' P-TGG TGT TTC CTA TGA-((HEG<sub>2</sub>)C-B)<sub>4</sub>(HEG)<sub>2</sub>-AAG AAA TAT CAT CTT-3', wherein P is a phosphate residue, HEG is hexaethylene glycol and C-B is a biotinylated C residue, was synthesized using a commercial DNA synthesizer. The two ends of the oligonucleotide were capable of base-pairing adjacent to each other with exon 9 of the CTFR gene contained in the double stranded plasmid pUC 19.

The probe was labeled by exchanging the present 5' phosphate residue with  $^{32}P$  using polynucleotide kinase and was allowed to hybridize with the target sequence. In a volume of  $20\mu l$  2 pmole probe were mixed with 0.2 pmole of plasmid in the presence or absence of 24 pmole RecA protein in a solution of 10mM Tris, pH 7.5, 10 mM Mg(Ac)<sub>2</sub>, 50 mM KAc, 2 mM ATP with 5 units T4 DNA ligase and was incubated for 30 minutes at 37°C.

After incubation, washing was performed under non-hybridizing conditions. Thereafter, the reaction products were separated on a denaturing 6% polyacrylamide gel and the radioactive label was quantified in a Phosphorimager (Molecular Dynamics). The results clearly showed comigration, demonstrating invasion and binding of the above padlock probe to the double stranded plasmid, both in the presence and absence of RecA.

#### EXAMPLE 2

Padlock probe binding to double stranded nucleic acid target and inhibition of promotor

A 90-mer padlock probe with two 20 nucleotide end regions, capable of hybridizing in juxtaposition on one strand of the insert cloned in a Bluescript plasmid, was allowed to hybridize to a denatured, amplified fragment of the insert, and including the two transcriptional promoters T3 and T7, flanking the insert. One ng of amplification product was mixed with 20 pmol of padlock probe in a 10µl reaction with 10U of Tth ligase (Epicenter Technologies) in the presence of a NAD+-containing buffer, as recommended by the manufacturer. This buffer was previously shown to be well suited also for transcription by both the T3 and T7 RNA polymerases. The presence of a padlock probe on the double stranded amplified fragment efficiently interferred with transcription of both strands of the amplified fragment.

#### CLAIMS

- 1. A method for targeting double stranded nucleic acids, comprising the following steps:
- a) contacting a linear padlock probe
  having two free nucleic acid end parts which are at least
  partially complementary to and capable of hybridizing with two
  at least substantially neighboring respective regions of a
  target nucleic acid sequence;

with a double stranded nucleic acid target without prior denaturation of said target;

- b) hybridizing said free nucleic acid end parts with said two at least substantially neighboring respective regions of a target nucleic acid sequence; and
- c) circularization of said padlock probe by joining said free end parts.
- Method according to claim 1, wherein the joining in step
   is performed with a linking agent.
- 3. Method according to claim 2, wherein the joining in step c) is performed with a ligase enzyme.
- 4. Method according to claim 1 or 2, wherein the joining step is achieved by mutually chemically reactive compounds at said probe end parts.
- 5. Method according to any of claims 1-4 which is an in vitro method.
- 6. Method according to any of claims 1-4 which is an in vivo method.

- 7. A pharmaceutical composition for targeting double stranded nucleic acids, comprising an effective amount of a padlock probe oligonucleotide having two free nucleic acid end parts which are at least partially complementary to and capable of hybridizing with two at least substantially neighboring respective regions of a target nucleic acid sequence so that the padlock probe can be circularized by joining said free end parts and catenate with the target sequence for direct inhibition thereof.
- 8. A composition according to claim 7, also comprising a linking agent.
- 9. A composition according to claim 8, wherein linking agent is a ligase enzyme.
- 10. A composition according to claim 7, comprising mutually chemically reactive compounds at said end parts.
- 11. A composition according to any of claims 7-10, in admixture with a suitable carrier.
- 12. A composition according to any of claims 7-11, wherein said padlock probe comprises non-natural nucleic acids or polymers.
- 13. A composition for targeting nucleic acids, comprising an effective amount of a padlock probe having two free nucleic acid end parts which are at least partially complementary to and capable of hybridizing with two at least substantially neighboring respective regions of a target nucleic acid sequence so that it can be circularized and catenate with the target sequence, for use as a medicament.

11

#### AMENDED CLAIMS

[received by the International Bureau on 17 January 1997 (17.01.97); original claims 1 - 13 replaced by amended claims 1 - 7 (1 page)]

- 1. A pharmaceutical composition for targeting double stranded nucleic acids, characterized in that it comprises an effective amount of a padlock probe oligonucleotide having two free nucleic acid end parts which are at least partially complementary to and capable of hybridizing with two at least substantially neighboring respective regions of a target nucleic acid sequence so that the padlock probe can be circularized by joining said free end parts and catenate with the target sequence for direct inhibition thereof.
- 2. A composition according to claim 1, in admixture with a suitable carrier.
- 3. A composition according to claim 1, also comprising a linking agent.
- 4. A composition according to claim 3, wherein linking agent is a ligase enzyme.
- 5. A composition according to claim 1, comprising mutually chemically reactive compounds at said end parts.
- 6. A composition according to any of claims 1-5, wherein said padlock probe comprises non-natural nucleic acids or polymers.
- 7. A composition for targeting nucleic acids, comprising an effective amount of a padlock probe having two free nucleic acid end parts which are at least partially complementary to and capable of hybridizing with two at least substantially neighboring respective regions of a target nucleic acid sequence so that it can be circularized and catenate with the target sequence, for use as a medicament.



International application No.

PCT/SE 96/01119

| A. CLAS  | SIFICATION OF SUBJECT MATTER  |  |                            |  |
|--|---|--|----------------------------|--|
| IPC6: A61K 48/00, C12Q 1/68 According to International Patent Classification (IPC) or to both national classification and IPC  |   |  |                            |  |
|  | DS SEARCHED   |  |                            |  |
| Minimum o  | documentation searched (classification system followed  | by classification symbols)   |                            |  |
|  | A61K, C12Q  |  |                            |  |
| i  | tion searched other than minimum documentation to the   | ne extent that such documents are included in  | n the fields searched      |  |
|  | T,NO classes as above   |  |                            |  |
| Electronic   | data base consulted during the international search (nam  | ne of data base and, where practicable, search   | n terms used)              |  |
| WPI, ME  | DLINE, BIOSIS, DBA  |  |                            |  |
| C. DOCU  | MENTS CONSIDERED TO BE RELEVANT   |  |                            |  |
| Category*  | Citation of document, with indication, where ap   | opropriate, of the relevant passages   | Relevant to claim No.      |  |
| х  | Science, Volume 265, Sept 1994<br>al, "Padlock Probes: Circula  | , Mats Nilsson et  | 1-6                        |  |
|  | al, "Padlock Probes: Circula<br>Oligonucleotides for Localia<br>page 2085   | arizing<br>zed DNA Detection"  |                            |  |
| A  |   |  | 7-13                       |  |
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| Furth  | er documents are listed in the continuation of Bo   | x C. See patent family annex   | •                          |  |
|  | categories of cited documents:  | "T" later document published after the inte  |                            |  |
| to be of   | nt defining the general state of the art which is not considered particular relevance   | date and not in conflict with the applic<br>the principle or theory underlying the i                                     |                            |  |
| "L" docume   | ocument but published on or after the international filing date<br>int which may throw doubts on priority claim(s) or which is<br>establish the publication date of another citation or other | "X" document of particular relevance: the considered novel or cannot be considered step when the document is taken alone | ed to involve an inventive |  |
| special  | reason (as specified) int referring to an oral disclosure, use, exhibition or other   | "Y" document of particular relevance: the considered to involve an inventive sten  |                            |  |
| "P" document referring to an oral disclosure, use, exhibition or other means combined with one or more other such documents, such combination being obvious to a person skilled in the art |   |  |                            |  |
| the prio   | rity date claimed   | "&" document member of the same patent if  |                            |  |
| Date of the  | actual completion of the international search   | Date of mailing of the international se  | •                          |  |
|  |   | 09 -12- 1  | 1996                       |  |
|  | mber 1996   | Australia di officia   |                            |  |
| _  | Name and mailing address of the ISA/ Swedish Patent Office  Authorized officer  |  |                            |  |
| Box 5055,  | S-102 42 STOCKHOLM  | Patrick Andersson  | Į                          |  |
| Facsimile 1  | No. +46 8 666 02 86   | Telephone No. + 46 8 782 25 00   |                            |  |



# **CATENT COOPERATION TREATY**

# **PCT**

# **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

# From the INTERNATIONAL BUREAU

To:

United States Patent and Trademark Office (Box PCT) Crystal Plaza 2 Washington, DC 20231 ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year) 21 April 1997 (21.04.97)

in its capacity as elected Office

International application No.

PCT/SE96/01119

International filing date (day/month/year) 06 September 1996 (06.09.96)

Applicant's or agent's file reference P03708PC/UA

Priority date (day/month/year) 08 September 1995 (08.09.95)

Applicant

LANDEGREN, UIF

| 1. | The designated Office is hereby notified of its election made:  |
|----|---|
|    | X in the demand filed with the International Preliminary Examining Authority on:  |
|    | 26 March 1997 (26.03.97)  |
|    | in a notice effecting later election filed with the International Bureau on:  |
| 2. | The election X was  |
| 2. | The election X was was not  |
|    | made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b). |
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Replaced by art, 34

#### **CLAIMS**

- A method for targeting double stranded nucleic acids, comprising the following steps:
- a) contacting a linear padlock probe having two free nucleic acid end parts which are at least partially complementary to and capable of hybridizing with two at least substantially neighboring respective regions of a target nucleic acid sequence;

with a double stranded nucleic acid target without prior denaturation of said target;

- b) hybridizing said free nucleic acid end parts with said two at least substantially neighboring respective regions of a target nucleic acid sequence; and
- c) circularization of said padlock probe by joining said free end parts.
- Method according to claim 1, wherein the joining in stepc) is performed with a linking agent.
- 3. Method according to claim 2, wherein the joining in step
- c) is performed with a ligase enzyme.
- 4. Method according to claim 1 or 2, wherein the joining step is achieved by mutually chemically reactive compounds at said probe end parts.
- 5. Method according to any of claims 1-4 which is an in vitro method.
- 6. Method according to any of claims 1-4 which is an in vivo method.

WO 97/09069

7. A pharmaceutical composition for targeting double stranded nucleic acids, comprising an effective amount of a padlock probe oligonucleotide having two free nucleic acid end parts which are at least partially complementary to and capable of hybridizing with two at least substantially neighboring respective regions of a target nucleic acid sequence so that the padlock probe can be circularized by joining said free end parts and catenate with the target sequence for direct inhibition thereof.

10

PCT/SE96/01119

- 8. A composition according to claim 7, also comprising a linking agent.
- 9. A composition according to claim 8, wherein linking agent is a ligase enzyme.
- 10. A composition according to claim 7, comprising mutually chemically reactive compounds at said end parts.
- 11. A composition according to any of claims 7-10, in admixture with a suitable carrier.
- 12. A composition according to any of claims 7-11, wherein said padlock probe comprises non-natural nucleic acids or polymers.
- 13. A composition for targeting nucleic acids, comprising an effective amount of a padlock probe having two free nucleic acid end parts which are at least partially complementary to and capable of hybridizing with two at least substantially neighboring respective regions of a target nucleic acid sequence so that it can be circularized and catenate with the target sequence, for use as a medicament.